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FROM:

DATE:

Charles E. Bell

Direct Dial 617-210-6830

cebell@mintz.com

October 24, 2003

To:

| Name | COMPANY | Business# | FAX# |
|--------------------|------------------------------|----------------|----------------|
| Petitions Examiner | Office of Petitions U.S. PTO | (703) 305-7866 | (703) 872-9306 |
| | U.S. P10 | | |

RE: U.S.A.N. 09/872,623

MESSAGE:

Dear Sir/Madam:

Further to a teleconference this afternoon between Examiner Huff and our offices regarding the above-referenced application, we are re-submitting a Rule 182/183 Petition, and accompanying papers, filed by the prior attorney of record, Susan J. Braman, on December 3, 2001.

The petition is being re-submitted for the Office's consideration and to complete the file history, because a decision has not been entered. Also submitted in support of the petition is the original paperwork (certificate of mailing, return postcard, check, express mailing label, and application checklist) performed by Ms. Braman. These documents are all dated June 1, 2001.

We are sending a total of 11 pages, including this cover sheet.

Please call us at 617-210-6830, if you experience any problems.

STATEMENT OF CONFIDENTIALITY THE INFORMATION CONTAINED IN THIS FAX IS INTENDED FOR THE EXCLUSIVE USE OF THE ADDRESSEE AND MAY CONTAIN CONFIDENTIAL OR PRIVILEGED INFORMATION. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY FORM OR DISSEMINATION OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF THIS FAX WAS SENT IN ERROR PLEASE IMMEDIATELY NOTIFY US BY PHONE.

If there are any questions, or if more information is required, please do not hesitate to contact me at the above number.

Respectfully submitted,

Charles E. Bell, Reg. No. 48,128

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Date: December 3, 2001

Applicant(s): Andersen et al.

Serial No.: 09/872,623

Filed: June 2, 2001

For: Alpha-Fetoprotein Peptides and Uses Thereof

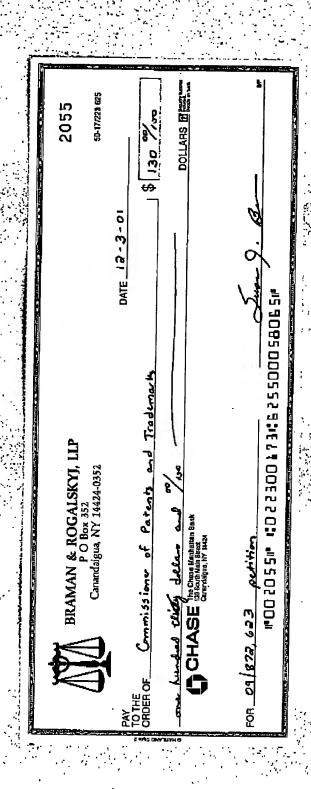
[X] Petition Under 37 CFR 1.182 or 1.183 (3 pgs)

[X] Check in the amount of \$130.00 (petition fee)

[]

Please date stamp and return this postcard to indicate receipt of the enclosed papers.

DOCKETED



| CERTIFICATE OF MA pplicant(s): Andersen et al | Docket No. 010.00121 | | |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| Serial No. Not Yet Known | Filing Date Herewith | Examiner | Group Art Unit |
| vention: ALPHA-FETOP | ROTEIN PEPTIDES AND U | SES THEREOF | |
| | | - | |
| I hereby certify that the follo | owing correspondence: | | |
| New Utility Patent Applicat sheets of drawings, Sequenc \$373.00 for filing fee | ion, including Specification, on the Listing pgs. 1-4, CRF copy | Claims, and Abstract (42 pgs), Tra of sequence listing, Statement Und | osmittal Letter (4 pgs), 1 er 1.821(f), and check for |
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| | (zaenujy type i | f correspondence) | |
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| 37 CFR 1.10 in an envelope 1 June 2001 | United States Postal Service | Susan J. Brama (Typed or Printed Name of Person Mailie | shington, D.C. 20231 on m ns Correspondence) |
| 37 CFR 1.10 in an envelope 1 June 2001 | United States Postal Service | Susan J. Brama (Typed or Printed Name of Person Mailing Corn (Signature of Person Mailing Corn | Shington, D.C. 20231 on a To Correspondence) Tespondence) |
| 37 CFR 1.10 in an envelope 1 June 2001 | United States Postal Service | Susan J, Brama (Typed or Printed Name of Person Mailing Corn (Signature of Person Mailing Corn EJ900843346US | shington, D.C. 20231 on n correspondence) respondence) |
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NEW APPLICATION FILING CHECKLIST

| | | | Init Re v i | | | | | Responsible Attorney (X = Agree) |
|-----|-------------------------------------------------------------------------------------------------------------------------------------|---------------|-----------------------|--------------|----|-----|----|----------------------------------|
| 1. | Are all pages of the specification, claims, and abstract enclosed? | [X] | Yes | [] | No | [] | NA | - |
| 2. | Are all pages of drawings enclosed? Check that the number of figures listed in the application is the same as that in the drawings. | • | Yes | [] | Νφ | [] | NA | |
| 3. | Do the papers being filed each include an Express Mail Certification Label No. EJ90084334645 | ; []; | Yes | [] | No | [] | NA | |
| 4. | Is a stamped, pre-addressed postcard submitted? | (X) | Yes | [] | No | [] | NA | |
| 5. | Is a fee submitted? If yes, check \times or deposit acct. authorization \times | [%] | Yes | [] | No | [] | NA | |
| 6. | Is a Declaration/Power of Attorney form submitted? | [] | Yes | [X] | No | [] | NA | |
| | If yes, Signed? | [] | Yes | [] | No | (~) | NA | |
| 7. | Is X small Entity Statement submitted? | [X] | Yęş | [] | No | [] | NA | |
| | If yes, Signed? | [] | Yes | [] | No | [*] | NA | |
| 3. | Are an Assignment and Cover Sheet submitted? | [] | Yes | [X] | No | [] | NA | • |
| | If yes, Signed? | [] | Yes | [] | No | [X] | NА | |
| | Is a transmittal sheet enclosed? | [*] | Yes | [] | No | [] | NA | |
| | If yes, Signed? | [X] | Yes | [] | No | [] | NA | |
| .0. | Are an IDS and PTO-1449 form(s) enclosed? | [] | Yes | (X) | No | [] | AN | |
| | If yes, cited references attached? | [] | Yes | [] | No | [X] | NA | **** |

C:\Documents\appl filing checklist November 3, 1998 1:43 PM

| | | | 3 | Mial Newer | | Responsible Attorney (X = Agree) |
|-------|--------|------------------------------------------------------------------------------------|--------------------|---------------|--------|---------------------------------------|
| 11. | | all inventors named in smittal or declaration? | (X) Yes | s [] No | [] NA | |
| 12. | | he envelope used to mail the ication: | | | | |
| | a. | Addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231? | (X) Yes | [] No | [] NA | |
| | b. | Is the appropriate Box No. listed? If "yes" what box was used? | . [%] Yes | [] No | [] NA | |
| | ¢. | Mailed by Express Mail? | [X] Yes | [] No | [] NA | |
| 13. | For | Biotech cases: | | | | |
| | a. | Is the sequence information submitted on paper? | (X) Yes | [] No | [] NA | |
| • | b. | Is the sequence information submitted on disk? | [🗙] Yes | [] ио | [] NA | |
| | c. | Is a 1.821(f) statement submitted? | [% Yes | [] No | () NA | |
| 14. | For s | software cases: | | | | |
| | a. | Are microfiches enclosed? | [] Yes | [] No | [x] NA | |
| 15. | Has to | there been government funding vention? | [X] Yes | [] No | [] NA | |
| | a. | If Yes, has funding been acknowledged in application? | 💢] Yes | [] No | [] NA | · · · · · · · · · · · · · · · · · · · |
| Initi | al Rev | iew By: | | | | |
| Init | ial R | eviewer | Date | · · · | | |
| | | iew By: | 9 | une) | 200 | , |
| Resp | onsib | le Attorney | Date | ·-·/ | | |

C:\Documents\appl filing checklist

Date: June 1, 2001

Docket: 010.00121

Applicant: Andersen et al. Serial No: Not Yet Known

Filed: Herewith

For: Alpha-Fetoprotein Peptides and Uses Thereof

[X] Utility Patent Appl. Transmittal Letter (4 pgs)

- [X] Patent Application, including Specification, Claims and Abstract (42 pages)
- [X] 19 sheets of drawings
- [X] Sequence Listing pgs. 1-4 with CRF copy and 1.821(f) Statement
- [X] Check for \$373.00 filing fee
- [X] Certificate of Mailing by Express Mail, label no. EJ900843346US

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